Lecture 1— Primitive Roots and Quadratic Reciprocity

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# 1 Primitive Roots

We have proven that the order of x modulo n is a divisor of  $\phi(n)$ . One may wonder what the extreme cases are. The first occurs when  $\operatorname{ord}_n(x) = 1$ , and this implies  $x \equiv 1 \mod n$ , which is not that interesting. The other extreme case occurs when  $\operatorname{ord}_n(x) = \varphi(n)$ , and is much more interesting.

# **Definition 1.1: Primitive Roots**

If  $\operatorname{ord}_n(g) = \varphi(n)$ , then g (and its residue class) are said to be *primitive roots* modulo n.

Naturally, there are some questions to ask here.

- 1. For which moduli are there primitive roots?
- 2. How many primitive roots are there?

# 1.1 Existence of Primitive Roots

We answer the first question, but without providing an entire proof.

# Theorem 1.2: Existence of Primitive Roots

A primitive root exists modulo n if and only if  $n = 2, 4, p^k$  or  $2p^k$  where p is an odd prime and  $k \ge 1$ .

Now we'll discuss the reason why primitive roots don't exist for positive integers not in the form described above.

## Lemma 1.3: Stronger version of Euler's theorem

Let  $n = p_1^{a_1} p_2^{a_2} \cdots p_m^{a_m}$  denote the prime factorization of n and let

$$M = \operatorname{lcm}(\varphi(p_1^{a_1}), \varphi(p_2^{a_2}), \cdots, \varphi(p_m^{a_m}))$$

Then  $x^M \equiv 1 \mod n$  whenever gcd(x, n) = 1

Proof.

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It's clear that  $M|\varphi(p_1^{a_1})\varphi(p_2^{a_2})\cdots\varphi(p_m^{a_m})=\varphi(n)$  So in order for a primitive root to exist mod *n*, it must be the case that  $M=\varphi(n)$ , for otherwise all integers *x* with gcd(x,n)=1 would have orders less than  $\varphi(n)$ . But for the equation  $M=\varphi(n)$  or

$$\operatorname{lcm}(\varphi(p_1^{a_1}),\varphi(p_2^{a_2}),\cdots,\varphi(p_m^{a_m}))=\varphi(p_1^{a_1})\varphi(p_2^{a_2})\cdots\varphi(p_m^{a_m})$$

to hold, the numbers  $\varphi(p_j^{a_j})$  must be pairwise co-prime! (To see this, look at the *p*-adic valuation of both sides for each prime *q*). However  $\varphi(k)$  is even for all k > 2, thus the equality above can only be true in very specific cases.

To see this more concretely, Let's look at the following example:

# **1.2** Number of Primitive Roots

We now answer the second question, this time with proof!

### **Proposition 1.4: Primitive Roots are Generators**

If g is a primitive root modulo n, then  $\{g^0, g^1, \dots, g^{\phi(n)-1}\}$  is the complete set of invertible residues modulo n.

*Proof.* There are  $\varphi(n)$  invertible residues, and so it suffices to prove that the elements in the set  $\{g^0, g^1, \dots, g^{\phi(n)-1}\}$  are pairwise distinct modulo n. In fact, assume that  $g^i \equiv g^j \mod n$ , for some  $i \geq j$ . then  $g^{i-j} \equiv 1 \mod n$ , but note that  $i - j < \varphi(n)$ , and so i - j = 0 by the definition of primitive roots.

# Theorem 1.5: Number of Primitive Roots

If there exists a primitive root modulo n, then there are exactly  $\varphi(\varphi(n))$  of them.

*Proof.* Consider a primitive root g. Note that by Proposition 1.4, the set  $\{g^i\}_{i=0}^{\varphi(n)-1}$  contains all invertible residues, and hence all primitive roots. Note that  $g^i$  is a primitive root if and only if the smallest positive k such that  $g^i k \equiv 1 \mod n$  is  $k = \phi(n)$ . Alternatively, the smallest k such that  $ik \equiv 0 \mod \varphi(n)$  is  $\varphi(n)$ . In other words, i must be coprime to  $\varphi(n)$ , and there are exactly  $\varphi(\varphi(n))$  such residues.  $\Box$ 

In fact, we just proved the following result.

# Lemma 1.6

If g is a primitive root modulo n, then  $g^i$  is a primitive root modulo n if and only if i is coprime to  $\varphi(n)$ .

In general, if there is a primitive root mod n and d is a divisor of  $\varphi(n)$ , then there are exactly  $\varphi(d)$  elements of order equal to d.

# **1.3** Applications of Primitive Roots

We first apply the concept of primitive roots to prove two beautiful results.

## Theorem 1.7

Let p be a prime number. Then

$$\sum_{m=1}^{p-1} m^k \pmod{p} = \begin{cases} p-1 & \text{ if } p-1 | k, \\ 0 & \text{ if } p-1 \nmid k \end{cases}$$

*Proof.* If p - 1|k, then by Fermat's Little Theorem,

$$\sum_{m=1}^{p-1} m^k \equiv \sum_{m=1}^p 1 \equiv p-1 \pmod{p}.$$

Otherwise, let g be a primitive root modulo p. Note that the sum ranges over the invertible residues modulo p, which are exactly generated by  $g^i$  as i ranges from 1 to p - 1, and so

$$\sum_{m=1}^{p-1} m^k = \sum_{i=1}^{p-1} g^{ik} = g^k \sum_{i=0}^{p-2} g^{ik}.$$

We can evaluate this as a geometric sum to be

$$g^k \frac{g^{k(p-1)} - 1}{g^k - 1},$$

noting that  $g^k - 1$  is nonzero modulo p since  $p - 1 \nmid k$ . The numerator however is equal to 0, and this concludes the proof.

Another application of quadratic residues allows us to segway into our next topic: quadratic residues.

## Theorem 1.8: Fermat's Christmas Theorem

Let p be an odd prime. Then there exists x such that  $x^2 \equiv -1 \mod p$  if and only if  $p \equiv 1 \mod 4$ .

*Proof.* We first prove that  $p \equiv 1 \mod 4$  is a necessary condition. Assume that  $x^2 \equiv -1 \mod 4$ . Then  $x^{p-1} \equiv (x^2)^{\frac{p-1}{2}} \equiv (-1)^{\frac{p-1}{2}} \mod 4$ . By Fermat's Little Theorem,  $\frac{p-1}{2}$  must be even and so  $p \equiv 1 \mod 4$ .

To prove it is sufficient, consider a primitive root  $g \mod p$ . Since  $p \equiv 1 \mod 4$ , we can consider  $x = g^{\frac{p-1}{4}}$ . This element satisfies  $x^2 \equiv g^{\frac{p-1}{2}} \pmod{p}$ . Note that  $x^4 \equiv 1 \pmod{p}$  by Fermat, and so  $(x^2 - 1)(x^2 + 1) \equiv 0 \pmod{p}$ . However, since g is a primitive root, it has order exactly p - 1 and so  $x^2 \equiv g^{\frac{p-1}{2}} \not\equiv 1 \pmod{p}$ , and so  $x^2 \equiv -1 \pmod{p}$ , as desired.

# 2 Quadratic Reciprocity

The previous result can be expressed in terms of the language of quadratic residues.

## **Definition 2.1: Quadratic Residues**

Let p be an odd prime number, and a an integer such that  $p \nmid a$ . We say that a is a quadratic residue modulo p if there exists x such that  $x^2 \equiv a \mod p$ . Otherwise, we say that a is a quadratic nonresidue.

We see that  $\{1^2, 2^2, \cdots, (p-1)^2\}$  are all the quadratic residues mod p but since  $x^2 \equiv (p-x)^2 \mod p$ , we can consider only the first half

$$\{1^2, 2^2, \cdots, \frac{(p-1)^2}{2}\}.$$

To show that these elements are distinct , suppose that  $i^2 \equiv j^2 \mod p$  where  $1 \leq i, j \leq \frac{p-1}{2}$  and  $i \not\equiv j \mod p$ , then p|(i-j)(i+j).

Since  $i \not\equiv j \mod p$ , then p|i+j but i+j < p/2 + p/2 = p which is impossible.

Therefore we can conclude that :

## Lemma 2.2: Number of Quadratic Residues

For any odd prime p, there are exactly  $\frac{p-1}{2}$  quadratic residues. Furthermore they are equal to the set:

$$\{1^2, 2^2, \cdots, \frac{p-1}{2}^2\}$$

This also tells us that there are  $\frac{p-1}{2}$  quadratic nonresidues.

Now we'll look at quadratic residues by using primitive roots.

## Lemma 2.3: Writing quadratic residues using primitive roots

Let g denote a primitive root modp then the set of all quadratic residues modp is equal to

$$\{g^k : k \text{ is even}\} = \{g^2, g^4 \cdots, g^{p-1}\}$$

And the set of quadratic nonresidues is equal to:

$$\{g^k : k \text{ is odd}\} = \{g^1, g^3 \cdots, g^{p-2}\}$$

*Proof.* Any quadratic residue a is the square of some element x in  $\{1, 2, \dots, p-1\}$  but we also know that there exists some number k such that  $x \equiv g^k \mod p$  which implies that  $a \equiv g^{2k} \equiv g^{\text{even number}} \mod p$ . This implies that the rest of the elements  $\{g^k : k \text{ is odd}\}$  must be the set of all quadratic nonresidues.

Now let's express the result of Theorem 1.8 in terms of the language of quadratic residues.

### Theorem 2.4: Fermat's Christmas Theorem, v2.0

Let p be a prime number. Then -1 is a quadratic residue modulo p if and only if  $p \not\equiv 3 \mod 4$ .

## **Proposition 2.5: Euler's Criterion**

Let p be a prime number and a an integer. Then

 $a^{\frac{p-1}{2}} \equiv \begin{cases} 1 \pmod{p} & \text{if } a \text{ is a quadratic residue modulo } p, \\ -1 \pmod{p} & \text{if } a \text{ is a quadratic residue modulo } p, \\ 0 & \text{if } p | a. \end{cases}$ 

*Proof.* First of all let's calculate  $x = g^{\frac{p-1}{2}}$  for a primitive root g. Since  $x^2 \equiv g^{p-1} \equiv 1 \mod p$ . This tells us that  $x \equiv \pm 1 \mod p$ . However it can't be possible that  $g^{\frac{p-1}{2}} \equiv 1 \mod p$  since g is a primitive root, so we must have  $g^{\frac{p-1}{2}} \equiv -1 \mod p$ . Now a be an arbitrary element of  $\{1, 2, \dots, p-1\}$ . Write  $a \equiv g^m \mod p$  for some integer m. Then  $a^{\frac{p-1}{2}} \equiv g^{m\frac{p-1}{2}} \equiv (-1)^m$  which by Lemma 2.3 is equal to 1 if a is

a quadratic residue (i.e. m even) and -1 if a is a quadratic nonresidue (when m is odd). The case p|a is trivial.

### Definition 2.6: Legendre Symbol

The Legendre symbol  $\left(\frac{a}{p}\right)$  is defined as  $\left(\frac{a}{p}\right) = \begin{cases} 1 & \text{if } a \text{ is a quadratic residue modulo } p, \\ -1 & \text{if } a \text{ is a quadratic residue modulo } p. \end{cases}$ 

The following result follows directly from Proposition 2.5.

## Proposition 2.7: Legendre Symbol is Multiplicative

For all integers a and b coprime to p, we have that

$$\left(\frac{ab}{p}\right) = \left(\frac{a}{p}\right)\left(\frac{b}{p}\right).$$

This tells us 3 three things: The product of two quadratic residues is a quadratic residue  $(1 \times 1 = 1)$ , the product of two quadratic nonresidues is a quadratic residue $(-1 \times -1 = 1)$ , and the product of a quadratic residue and quadratic nonresidue is a quadratic nonresidue $(1 \times -1 = -1)$ .

Notice that if we write each element as a power of primitive root g. Then this result is really just telling us the very familiar laws of parity (even+even=even, odd+odd=even, odd+even=odd)

Now we'll get to the main theorem concerning quadratic residues .

#### Theorem 2.8: The Law of Quadratic Reciprocity

Let p, q denote distinct odd primes, then:

$$\left(\frac{p}{q}\right)\left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2} \times \frac{q-1}{2}}$$

This can be equivalently stated as :

$$\left(\frac{p}{q}\right) = \begin{cases} \left(\frac{q}{p}\right) & \text{if at least one of } p \text{ or } q \text{ is } 1 \mod 4, \\ -\left(\frac{q}{p}\right) & \text{if both } p \text{ and } q \text{ are } 3 \mod 4 \end{cases}$$

This theorem allows us to calculate  $\left(\frac{p}{q}\right)$  directly from  $\left(\frac{q}{p}\right)$ 

Theorem 2.9: Criterion for 2 and -1

 $\left(\frac{2}{p}\right) = (-1)^{\frac{p^2 - 1}{8}}$  $\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}$ 

and

Theorem 2.8 and 2.9 allow us to compute Legendre symbol for all integers in an efficient manner.

# Example.

We'll determine whether 21 is a quadratic residue mod 61 We see that:

$$\left(\frac{21}{61}\right) = \left(\frac{3}{61}\right)\left(\frac{7}{61}\right) = \left(\frac{61}{3}\right)\left(\frac{61}{7}\right) = \left(\frac{1}{3}\right)\left(\frac{5}{7}\right) = 1 \cdot \left(\frac{7}{5}\right) = \left(\frac{2}{5}\right) = (-1)^{\frac{5^2 - 1}{8}} = -1$$

Now let's ask the same question for  $51 \mod 103$ 

$$\left(\frac{51}{103}\right) = \left(\frac{3}{103}\right)\left(\frac{17}{103}\right) = -\left(\frac{103}{3}\right)\left(\frac{103}{17}\right) = -\left(\frac{1}{3}\right)\left(\frac{1}{17}\right) = -1$$

### Example.

Let's find all odd primes p such that the equation  $x^2 \equiv 3 \mod p$  has a solution.

This condition tells us that

$$1 = \left(\frac{3}{p}\right) = \left(\frac{p}{3}\right) \cdot \left(-1\right)^{\frac{p-1}{2}}$$

So we have 2 cases to consider: Case 1:

$$\left(\frac{p}{3}\right) = 1$$
 and  $(-1)^{\frac{p-1}{2}} = 1$ 

The first equation implies that  $p \equiv 1 \mod 3$  (because 1 is the only quadratic residue mod3) and the second says that  $p \equiv 1 \mod 4$ . Combining these 2 equations gives  $p \equiv 1 \mod 12$ 

Case 2:

$$\left(\frac{p}{3}\right) = -1$$
 and  $(-1)^{\frac{p-1}{2}} = -1$ 

The first equation implies that  $p \equiv 2 \mod 3$  (Since 2 is the only quadratic nonresidue mod3) and the second says that  $p \equiv 3 \mod 4$ . Combining these 2 equations gives  $p \equiv 11 \mod 12$ 

So we can conclude that the only primes p for which 3 is a quadratic residue are exactly those that leave a remainder of 1 or 11 when divided by 12.